

Chair of Public and Behavioral Economics

Prof. Dr. Daniel Schunk

Johannes Gutenberg University Mainz

Faculty of Law and Economics

**Paper on the topic**

# **Formal Requirements for Writing a Thesis or Term Paper**

Submitted on April 1, 2024 by:

Max Mustermann

Matriculation number: 1234567

99th semester

Subject: International Economics and Public Policy

Jakob-Welder-Weg 4

55128 Mainz

E-mail: [mmustermann@students.uni-mainz.de](mailto:mmustermann@students.uni-mainz.de)

**SUMMARY**

This space is reserved for a summary.

## **TABLE OF CONTENTS**

ABBREVIATIONS.....	II
FIGURES DIRECTORY .....	III
TABLES DIRECTORY .....	IV
1. Formal Guidelines .....	1
2. Information Regarding Content .....	4
3. Obligation of Citation and Literature Research .....	5
4. Additional Information.....	7
Bibliography.....	VI

## **ABBREVIATIONS**

APA American Psychological Association

et al. et alii

ff. and the following pages

p. page

pt. point

**FIGURES DIRECTORY**

Figure 1: Exemplary Figure .....	3
----------------------------------	---

**TABLES DIRECTORY**

Table 1: Exemplary Table .....	2
--------------------------------	---

## **1. Formal Guidelines**

### **The Structure**

The thesis begins with a cover sheet. This is followed by the summary, the table of contents, if necessary a list of abbreviations, figures and tables, the text part, the bibliography, if necessary an appendix and finally a declaration of authorship stating the autonomous preparation of the document. The pages are numbered consecutively with Arabic numerals **starting from the beginning of the text part**. The indexes are numbered with Roman numerals. Please do not use page numbers for cover sheet and summary. The division of a document into different sections, which are numbered differently, is possible by using the function Layout/Breaks/Section Breaks.

You can write the thesis in German or English (Exception: If you are a MIEPP student it is mandatory that your thesis is written in English). However, the thesis is expected to be correct.

### **Cover Sheet**

The cover page should contain all essential information (see template). The design is up to you.

### **Table of Contents**

As a rule, the breakdown of the chapters should not contain more than three levels. The table of contents and the declaration of authorship are not part of the table of contents.

### **Lists of Abbreviations, Symbols, Figures and Tables**

A separate page has to be added for each directory.

### **Scope**

A Bachelor's thesis (Master's thesis) should have a maximum length of 20 (40) pages (incl. illustrations and tables, excluding table of contents, directories, bibliography and appendix). If the thesis exceeds this limit (or is “artificially” extended to the required number of pages), we reserve the right to deduct the grade. Use blank lines between two chapters, between text and illustrations, tables and formulas. There should be no blank lines between two paragraphs or between a heading and text.

### Font and Margins

Use **Times New Roman** with a **font size of 12 pt** and **1.5 line spacing**. For headings of the first level, the font size is 13 pt and bold.

### 3. Heading (bold, 13 pt)

#### 3.1. Heading (bold, 12 pt)

##### 3.1.1. Heading (bold, 12pt)

Use **justification and enable automatic hyphenation**. Please leave a **sufficient margin** (2.5 cm on each side) for corrections. You should also leave a sufficient margin at the top and bottom (2.5 cm each). Insert page numbers in Times New Roman in the middle of the footer. The page numbers begin on the first page of the text.

### Tables and Figures

Tables and figures should be included within the text if they are required for understanding the text and if the author refers to the figure or table in the text. Otherwise, tables, figures and mathematical explanations should be attached in the appendix. Tables and figures must be numbered consecutively and labeled left-aligned. The source of the figure shall be indicated in a footnote. The font for the label is Times New Roman in bold and the font size is 10 points. Refer to *Figure 1* or *Table 1* in italics. Using these references within the text, the words “figure” and “table” as well as in the corresponding directories should not be abbreviated.

headline 1	headline 2
content 1	content 2

**Table 1: Exemplary Table**



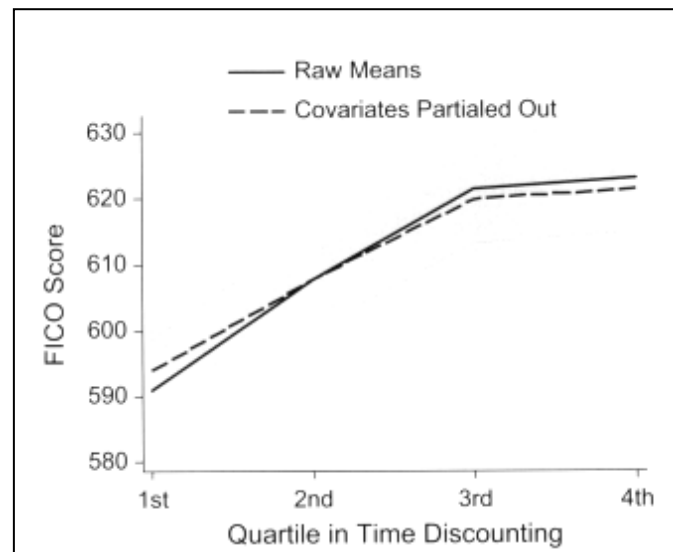


Figure 1: Exemplary Figure<sup>1</sup>

### Formulas

Formulas are put in separate lines and indented. If the text contains many formulas, a numbering is recommended. When presenting a theoretical model, the author should make it clear that she has understood the model, e.g. by giving verbal explanations on formal derivations. The font is Times New Roman (12 pt).

$$(1) y_i = \beta_0 + \beta_1 + \gamma X_i + \delta Z_i + e_i.$$

$$(2) y_i = \beta_0 + \beta_1 + \beta_2 + \gamma X_i + \delta Z_i + e_i.$$

### Appendix

The appendix is not a mandatory part of the thesis. In general it can be omitted.

---

<sup>1</sup> Figure derived from the study Meier & Sprenger (2012, p. 57)

## **2. Information Regarding Content**

### **Structure – text part**

The introduction describes and motivates the research question of the thesis. In addition, the introduction explains the relevance of the question you are investigating. This is followed by a brief outline of the current state of research and a (brief) classification of the investigated topic within the literature. Please also briefly describe the (quoted!) literature you have used. Then a short overview of the remaining procedure of the thesis is given. At the end of the thesis, the key results are summarized concisely and acknowledged critically. In addition, it is possible to give an outlook on the further development of the scientific discourse and to point out open questions.

### **Summary**

The Bachelor's and Master's thesis should be preceded by a summary (max. ½ page), which contains four to seven keywords on the most important facets at the end. The summary is not part of the total page count of the thesis. For term papers no summary is required.

### **Paragraph formation**

Avoid excessive paragraph formation and consider the rule "paragraph = presentation of a delimited aspect".

### **Chapter heading**

Choose your chapter headings carefully. Reproduce the chapter content in a few concise words and not in whole sentences. Your headings should be precise, but always provide sufficient content. The headings of the individual sections should be formulated content related (NOT: main part).

### **Text positioning**

If you start a new chapter (e.g. 2nd chapter), at least 5 lines should be on the same page, otherwise you can start a new page. This rule does not apply to subchapters.

### **3. Obligation of Citation and Literature Research**

#### **Citations**

All thoughts, arguments, tables, figures and images originating from the works of other authors must be marked clearly. The citation is integrated in the text, not listed in footnotes. If a reference is made to a specific publication, it is necessary to state the author and the year of publication in brackets. Example: Müller (2018) shows that... Or: Digitization biases competition against small companies (Müller and Meier, 2018). If a reference is made to a specific text passage, the page number needs to be added: Müller (2018, p. 11) concludes that ... When referring to works by other authors, do not rely on secondary sources, but read the cited source yourself. This will ensure that you correctly represent the thoughts of other authors. Non-scientific Internet sources such as Wikipedia are not citable. When using data material, always refer to the data source. Literal quotations are indicated by quotation marks. They have to be cited letter by letter. Avoid too many literal citations. Literal quotations that exceed a length of three lines can also be distinguished from the main text by indenting them. Tables, images and figures which you did not create yourself and which are taken from publications of other authors must also be quoted with an indication of the page.

For three or more authors, the abbreviation et al. is used (Müller et al., 2018, p. 1ff.). Several sources are separated by a semicolon (Müller et al., 2018, p. 1 ff.; Mustermann, 2018, p. 2).

The bibliography includes all **cited** sources.

#### **Footnotes**

Footnotes should be used carefully! Important thoughts should be stated in the text, unimportant ones should be omitted. Only those additions that interfere with the flow of the text should be included in a footnote. Generally, citations should be made in the text, not in the footnotes.

Note: This rule may surprise some students because they seem used to citing in footnotes and find quoting in the text annoying. However, this is especially the case if you quote too much. So, it is certainly not appropriate to insert a source after every sentence. If you refer to an entire literature with many different sources, a footnote is of course required.

#### **Bibliography**

All mentioned sources in the thesis (and only these) have to be listed in the bibliography. The publications are arranged alphabetically according to the surnames of the authors (and in ascending order according to the year of publication for the same author). Depending on the type of source (e.g. journal article, essay from an anthology) there are different ways of citation. You

do not have to adhere exactly to the citation style suggested here. However, please choose a usual citation style and apply it consistently throughout the entire thesis. A widely used and recommended citation is the *APA style*. We use *the 6th Edition* in the examples below. You may, however, choose a different citation style as long as it contains all the necessary information and is consistent, like of a renowned journal (e.g. American Economic Review). The citation style must be the same for all cited sources. Sources from the Internet (e.g. speeches etc.) should only be used if a certain respectability and reliability is given. If the text is also available in printed form, please refer only to the printed source. Otherwise, enter the complete Internet address. In the following, examples of citation according to *APA Style, 6th Edition* are presented for different document types. However, please sort in your bibliography only alphabetically according to the surnames of the authors, not according to the document type! In contrast to the text part, all names of three or more authors must be indicated in the bibliography. The format of the individual entries follows 1.0 line spacing and one blank line between every source.

#### **Monographs**

Davis, E. P. (1995). *Debt, Financial Fragility, and Systemic Risk*. Oxford University Press, Oxford

#### **Articles from anthologies**

Dewatripont, M. & Tirole, J. (1993). Efficient governance structure: implications for banking regulation. In: Mayer, C. & Vives, X., (Ed.), *Capital markets and financial intermediation*, 12-33. Cambridge University Press, Cambridge.

#### **Articles from academic journals**

Kaminsky, G. L. & Reinhart, C. M. (1999). The Twin Crises: The Causes of Banking and Balance-of-Payments Problems. *American Economic Review*, 89(3), 473-500.

#### **Essays from a series of working papers**

Mishkin, F. S. (1994). *Preventing Financial Crises: An International Perspective*. (NBER Working Paper no. 4636.8).

#### **Unpublished manuscripts**

Girard, Y. & Hett, F. (2013). *Competitiveness in Dynamic Contests*, Unpublished manuscript, University of Mainz, Mainz.

#### **Information from the internet**

World Health Organization (2007). International Statistical Classification of Diseases (ICD). Requested on March 8, 2017: <http://www.who.int/classifications/icd/en/>.

### **Tips for research**

For scientific research, good scientific sources should primarily be used. One example is academic journals. To assess whether a journal is rated as good or less good the following ranking can be used as an indicator: <https://www.scimagojr.com/journalrank.php?category=2002>.

## **4. Additional Information**

### **List of assistive instruments and personally signed declaration of authorship**

According to the examination regulations, the thesis must contain a list of the tools used and a personally signed declaration stating the autonomous preparation of the document. The exact wording of the declaration of authorship can be found below. Insert the declaration at the end of the paper (after the bibliography and appendix). Do not forget to sign the declaration. The rules for tools can be found [here](#).

### **Artificial intelligence (AI) and machine learning tools**

- **Artificial intelligence tools**
  - AI frameworks used (e.g. TensorFlow, PyTorch)
  - Models and algorithms
  - Parameters and hyperparameter settings
- **Large language models**
  - Example: ChatGPT
  - Use cases and tasks used
  - Model parameters and versions
- **Conversation histories**
  - Reference to the inclusion of conversation histories with the Large Language Model in the appendix for transparency and reproducibility of the research

Please note that we may use professional software to check whether your work is a plagiarism. By "copying" larger passages from unquoted sources the student will fail the examination and followed by further legal consequences.

#### *Declaration of Authorship*

*I hereby declare that I have written this thesis independently and have not used any sources or aids (including AI-based applications or tools) other than those specified. All verbatim or analogous citations and quotations are identified and verified. I confirm that I have not used any aids whose use has been explicitly excluded by the examiner.*

*I have documented the AI tools used in the appendix "Use of AI tools". In addition, I have listed all AI-generated outputs that were relevant to the task in the appendix "AI outputs".*

*By submitting this work, I assume responsibility for the overall product submitted. I am therefore also responsible for any AI-generated content that I have included in my work. I have checked the accuracy of the (AI-generated) statements and content to the best of my knowledge and belief.*

*I have not submitted the work for the acquisition of another certificate of achievement in the same or a similar form. I am aware that a violation of the above points has consequences under examination law and may in particular lead to the coursework and examination being assessed as "failed". Enrolment can be revoked for up to two years if students cheat twice or more frequently in examinations (Section 69 (4) and (5) HochSchG).*

#### **Submission**

*Bachelor's and Master's thesis* must be submitted in **electronic form**. To do so, send an email with the thesis as a PDF and the signed declaration of authorship from your student mail address to [studienbuero-fb03@uni-mainz.de](mailto:studienbuero-fb03@uni-mainz.de). Once the email is complete, the paper is considered submitted and cannot be changed.

Please also send an email with all files to our office [public-economics@uni-mainz.de](mailto:public-economics@uni-mainz.de) and your supervisor.

*A term paper* must also be submitted in **electronic form**. Send an email with your paper as a PDF and the signed declaration of authorship from your student mail address to [public-economics@uni-mainz.de](mailto:public-economics@uni-mainz.de) and your supervisor. Once the email is complete, the paper is considered submitted and cannot be changed.

In the case of empirical work, the data sets used and a complete code documentation of the analysis (e.g., Stata Dofiles, R code) must always be handed in. Details are to be discussed with the supervisor. This submission is only to be made to the supervisor!

## **Bibliography**

Binswanger, J. & Schunk, D. (2009). *What is an adequate standard of living during retirement?*, Unpublished manuscript, Tilburg University, Tilburg.

Davis, E. P. (1995). *Debt, Financial Fragility, and Systemic Risk*. Oxford University Press, Oxford

Dewatripont, M. & Tirole, J. (1993). Efficient governance structure: implications for banking regulation. In: Mayer, C. & Vives, X., (Hrsg.), *Capital markets and financial intermediation*, 12- 33. Cambridge University Press, Cambridge.

Kaminsky, G. L. & Reinhart, C. M. (1999). The Twin Crises: The Causes of Banking and Balance-of-Payments Problems. *American Economic Review*, 89(3), 473-500.

Mishkin, F. S. (1994). *Preventing Financial Crises: An International Perspective*. (NBER Working Paper no. 4636.8).

Meier, S. & Sprenger, C.D. (2012). Time Discounting Predicts Creditworthiness. *Psychological Science*, 23(1), 56–58.

World Health Organization (2007). International Statistical Classification of Diseases (ICD). Requested on March 8, 2017: <http://www.who.int/classifications/icd/en/>.